

**FORM SEC AA5**

(To be completed in duplicate)



**SECURITIES AND EXCHANGE  
COMMISSION, NIGERIA**

**APPLICATION FORM FOR REGISTRATION OF DERIVATIVES CONTRACT IN  
ACCORDANCE WITH THE RULES AND REGULATIONS ON DERIVATIVES TRADING**

1. Name of the Exchange Seeking Registration of the Contract \_\_\_\_\_  
\_\_\_\_\_
2. Type of Derivatives Contract to be Registered (Futures, Options, etc.) \_\_\_\_\_  
\_\_\_\_\_
3. Name and Brief Description of Underlying Instrument \_\_\_\_\_  
\_\_\_\_\_
4. If the Underlying Instrument is an Index, State the Type of Index \_\_\_\_\_  
\_\_\_\_\_
5. Exchange(s) on which Securities Comprising Underlying Instruments are Traded  
\_\_\_\_\_
6. Settlement Methodology of the Contract \_\_\_\_\_  
\_\_\_\_\_
7. Position Limits of New Contract (if any) \_\_\_\_\_  
\_\_\_\_\_
8. Any Other Relevant Information \_\_\_\_\_  
\_\_\_\_\_

**CERTIFICATION**

I \_\_\_\_\_, Director/Secretary to the Exchange, do certify as follows that;

(Full Name and Title)

- i. I am duly authorized to register the contract on behalf of the Exchange;
- ii. I am duly authorized to supply the information in this form and the appendices thereto;
- iii. the information provided in this application form and in the appendices thereto are to the best of my knowledge and belief, true and correct.

.....  
Date

.....  
Signature

**NB:**

- a) This form should be notarized.
- b) Where information is contained in an attached appendix to this form, such appendix shall be properly marked and executed;