



**APPLICATION FORM FOR THE REGISTRATION OF TRUSTEES UNDER THE
INVESTMENTS AND SECURITIES ACT 2007**

1. Name of Applicant: _____
2. Current Office Address _____
3. Telephone number _____ Fax No _____
4. Date of Incorporation _____
5. Previous Address (if any) _____
6. Date of Commencement of Business _____
7. Bankers and Branch(es) in which Trust Account(s) is/are maintained _____

- i. _____

- ii. _____

- iii. _____

8. State number of Branches _____

9. **Capital Structure:**
- i. Authorized: N.....Shares of N.....each amounting to N.....
 - ii. Issued: N.....Shares of N.....each amounting to N.....
 - iii. Paid up: N.....Shares of N.....each amounting to N.....

10. **PARTICULARS OF DIRECTOR OR PARTNERS**

Name	Address	Date Appointed	Date of Retirement

11. **PARTICULARS OF TRUSTEESHIP (WITHIN THE LAST 2 YEARS)**

Title of Scheme	Year Commenced	Current Yes/No	Reasons for Termination

12. If the applicant is a Division/Department/Subsidiary of a registered company, state the name of the principal Company: _____

13. State below the number of clients you serve:
 Public Companies: Quoted: _____
 Unquoted: _____

14. Give the total in number and values of the following documents outstanding with you undelivered.
 Dividend/Interest warrants _____ Period covered _____ N _____
 Unit trust/Stock certificate _____ Period covered _____ N _____

15. What are the main reasons for non delivery?

16. Briefly describe facilities available for the safekeeping of trust Deeds/Securities/valuables

17. Is the applicant always involved in keeping money on behalf of clients pending distribution by the applicant to ultimate beneficiaries for whatever reasons?

18. State total amount of Client's money now outstanding with you?

19. How does the applicant account for Client's money referred to in (18) above?

20. State the basis upon which the applicant is remunerated for services rendered:

21.

Give details and value of Fidelity Bonds entered into in respect of your employees:

AFFIDAVIT

I, _____ Director/Secretary _____
(Full Name)

Of _____
(Name of Applicant Company)

Hereby make oath and state as follows.

- 1) that I have been duly authorised to sign this form on behalf of the company;
- 2) that the statement of facts made and information given on this form are to the best of my knowledge and belief true and correct

DEPONENT

SEORN to at the _____ Court _____

This _____ day of _____

BEFORE ME

COMMISSIONER FOR OATHS